IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicants:

Yiping Zhao et al.

: Attention:

erial No.:

10/074,937

: Box Missing Parts

Filed:

February 12, 2002

: Art Unit: 2874

For:

ULTRAFAST ALL-OPTICAL SWITCH

: Confirmation No.: 6992

USING CARBON NANOTUBE

POLYMER COMPOSITES

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231 SIR:

Responsive to the Notice dated April 11, 2002, enclosed is the Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.63. Also enclosed are a copy of the Notice and a check in the amount of \$65.00 to cover the required surcharge fee.

Respectfully Submitted,

Kevin R. Casey, Reg. No.

Attorney for Applicants

KRC/kak

Enclosures:

Declaration and Power of Attorney;

Copy of Notice; \$65 Check

Dated: June 11, 2002

Suite 301, One Westlakes, Berwyn

P.O. Box 980

Valley Forge, PA 19482

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:











UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 10/074,937 Yiping Zhao RPI-112US

02/12/2002

CONFIRMATION NO. 6992

RATNER AND PRESTIA Suite 301 One Westlakes, Berwyn P.O. Box 980 Valley Forge, PA 19482-0980



FORMALITIES LETTER

OC000000007852688

Date Mailed: 04/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/19/2002 MBIZUNES 00000091 10074937

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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

	INVENTOR(S)									
Given Name (first and mid	dle [if any])	Family Name or Sumame		Residence (City and either Stat or Foreign Country)						
Richard W. Scott M.		Citta LoPresto		Oak Park, Illin is Mount Prospect, Illinois						
Jingsong		Xia		Mundelein, Illinois						
Additional inventors are being named on the separately numbered sheets attached hereto										
TITLE OF THE INVENTION (280 characters max)										
DATA-DIRECTED FREQUENCY-AND-PHASE LOCK LOOP FOR DECODING AN OFFSET-QAM MODULATED SIGNAL HAVING A PILOT										
Direct all correspondence to: CORRESPONDENCE ADDRESS										
Customer Number					Place Customer Number Bar Code Label Here					
OR	Type Customer Number here									
Firm or Individual Name	Quentin G. Cantrell									
Address	Woodard, Emhardt, Naughton, Moriarty & McNett									
Address Bank One Center/Tower, Suite 3700, 111 Monument Circle										
City	Indianapolis	State	Indiar	na	ZIP	46204-5137				
Country	U.S.A.	Telephone	(317)	634-3456	Fax	(317) 637-7561				
ENCLOSED APPLICATION PARTS (check all that apply)										
Specification Num	13		CD(s), Number	r			,			
□ Drawing(s) Number of Sheets □ Drawing(s) Number of Sheets										
Application Data Sheet. See 37 CFR 1.76										
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check all that apply)										
Applicant claims small entity status. See 37 CFR 1.27. Filing Fee Amount(\$)										
A check or money order is enclosed to cover the filing fees										
The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number:										
Payment by credit card. Form PTO-2038 is attached.										
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.										
No.										
Yes, the name of the U.S. Government agency and the Government contract number are:										
Respectfully Submitted. Date 04/05/2002										
04/03/2002								Ξ.		
				TION NO.	47,46	9				
TYPED or PRINTED NAME Quentin G. Cantrell				(if appropriate) Docket Number: 31075-15				.15		
TELEPHONE (317) 634-3456							<u>-17</u>			

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Commissioner for Patents, Washington, D.C. 20231

"Express Mail" label number EV 021094697 US Date of Deposit April 5, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Malita X Mappo